

**Clean Water SRF Program Information  
for the State of Kentucky**

**CWSRF Agency Information**

1 **Lead State Agency Name:** Kentucky Infrastructure Authority  
2 **Type of Agency:** Financial  
3 **Mailing Address:**  
4 City: Frankfort  
5 Zip Code: 40601  
6 **Street Address:** 375 Versailles Road  
7 City: Frankfort  
8 Zip Code: 40601  
9 **Director:** Roger Recktenwald  
10 Director's Title: Executive Director  
11 Phone Number: (502) 573-0260  
12 Fax Number: (502) 573-0157  
13 E-mail Address: roger.recktenwald@mail.state.ky.us  
14 **Contact Person:** Sandy Williams  
15 Phone Number: (502) 573-0260  
16 Fax Number: (502) 573-0157  
17 E-mail Address: sandy.williams@mail.state.ky.us

18 **Secondary Agency Name:** Facilities Construction  
19 **Type of Agency:** Environmental  
20 **Mailing Address:**  
21 City:  
22 Zip Code:  
23 **Street Address:** Frankfort Office Park, 14 Reilly Road  
24 City: Frankfort  
25 Zip Code: 40601  
26 **Director:** William B. Gatewood  
27 Director's Title: Manager  
28 Phone Number: (502) 564-2225 Ext. 440  
29 Fax Number: (502) 564-2741  
30 E-mail Address: william.gatewood@mail.state.ky.us  
31 **Contact Person:** Kelli Rice  
32 Phone Number: (502) 564-2225 Ext. 562  
33 Fax Number: (502) 564-2741  
34 E-mail Address: kelli.rice@mail.state.ky.us

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**Other Agency:**

35 **Other Agency Name:**  
36 **Type of Agency:**  
37 **Mailing Address:**  
38 City:  
39 Zip Code:  
40 **Street Address:**  
41 City:  
42 Zip Code:  
43 **Director:**  
44 Director's Title:  
45 Phone Number:  
46 Fax Number:  
47 E-mail Address:  
48 **Contact Person:**  
49 Phone Number:  
50 Fax Number:  
51 E-mail Address:

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## Clean Water SRF Program Information for the State of Kentucky

		For Federal Fiscal Year Ending September 30 of:							
		1988	1989	1990	1991	1992	1993	1994	1995
<b>Federal Grants (Dollars)</b>									
52	Date of Last Award in the Year	-	09/20/89	09/30/90	09/30/91	09/24/92	09/29/93	09/16/94	03/14/95
53	Title II Funds	0	21,232,741	11,255,174	5,138,320	700,000	0	0	0
54	Title VI - Current Year's Appropriation	0	12,004,641	12,417,273	26,119,962	24,729,111	24,462,603	15,178,779	15,676,353
55	Title VI - Prior Year's Appropriation	0	0	0	0	0	0	0	0
56	*Total Annual Federal Grants	0	33,237,382	23,672,447	31,258,282	25,429,111	24,462,603	15,178,779	15,676,353
57	*Cumulative Federal Grants	0	33,237,382	56,909,829	88,168,111	113,597,222	138,059,825	153,238,604	168,914,957
<b>Transfer of Federal Funds Between CWSRF and DWSRF</b>									
58	Amount of Federal Funds Transferred into CWSRF								
59	Amount of Federal Funds Transferred out of CWSRF								
60	*Net Transfer into/(out of) CWSRF								
61	*Cumulative Net Transfer into/(out of) CWSRF								
<b>Quarterly Outlays (Dollars)</b>									
62	First Quarter Outlays	0	0	455,544	495,169	4,523,378	10,210,580	5,384,282	3,158,773
63	Second Quarter Outlays	0	0	1,331,856	347,191	1,637,797	6,044,558	1,956,842	3,847,755
64	Third Quarter Outlays	0	0	1,045,818	402,354	2,756,104	4,705,656	1,904,866	6,285,332
65	Fourth Quarter Outlays	0	0	784,472	3,850,416	6,846,786	7,458,087	3,275,158	9,426,882
66	*Total Annual Outlays	0	0	3,617,690	5,095,130	15,764,065	28,418,881	12,521,148	22,718,742
67	*Cumulative Outlays	0	0	3,617,690	8,712,820	24,476,885	52,895,766	65,416,914	88,135,656
		For the Reporting Year Ending June 30 of:							
		1988	1989	1990	1991	1992	1993	1994	1995
<b>Outlays (Dollars)</b>									
68	*Annual	0	0	2,833,218	2,029,186	12,767,695	27,807,580	16,704,077	16,567,018
69	*Cumulative	0	0	2,833,218	4,862,404	17,630,099	45,437,679	62,141,756	78,708,774

\* Calculated values.

**Clean Water SRF Program Information  
for the State of Kentucky**

	<i>For Federal Fiscal Year Ending September 30 of:</i>							
	1996	1997	1998	1999	2000	2001	2002	2003
<b>Federal Grants (Dollars)</b>								
52 Date of Last Award in the Year	06/21/96	09/10/97	09/03/98	09/21/99	09/21/00	08/31/01	-	08/27/03
53 Title II Funds	0	92,867	0	0	58,113	0	0	0
54 Title VI - Current Year's Appropriation	16,473,699	7,912,230	17,138,187	17,139,573	17,081,460	16,929,594	0	0
55 Title VI - Prior Year's Appropriation	0	9,204,733	0	0	0	0	0	16,967,313
56 *Total Annual Federal Grants	16,473,699	17,209,830	17,138,187	17,139,573	17,139,573	16,929,594	0	16,967,313
57 *Cumulative Federal Grants	185,388,656	202,598,486	219,736,673	236,876,246	254,015,819	270,945,413	270,945,413	287,912,726
<b>Transfer of Federal Funds Between CWSRF and DWSRF</b>								
58 Amount of Federal Funds Transferred into CWSRF		0	0	0	0	0	0	0
59 Amount of Federal Funds Transferred out of CWSRF		0	0	0	0	0	0	0
60 *Net Transfer into/(out of) CWSRF		0	0	0	0	0	0	0
61 *Cumulative Net Transfer into/(out of) CWSRF		0	0	0	0	0	0	0
<b>Quarterly Outlays (Dollars)</b>								
62 First Quarter Outlays	11,244,246	7,343,910	5,382,606	7,800,445	3,444,395	2,926,095	2,235,346	4,292,817
63 Second Quarter Outlays	7,168,535	7,819,799	3,391,988	4,486,091	1,671,591	2,000,222	1,801,810	2,901,684
64 Third Quarter Outlays	6,079,138	12,811,431	4,847,313	4,904,028	2,210,123	2,429,210	4,109,375	2,956,187
65 Fourth Quarter Outlays	7,614,278	6,030,515	6,778,698	5,835,687	1,157,104	2,403,963	4,528,271	2,786,122
66 *Total Annual Outlays	32,106,197	34,005,655	20,400,605	23,026,251	8,483,213	9,759,490	12,674,803	12,936,810
67 *Cumulative Outlays	120,241,853	154,247,508	174,648,113	197,674,364	206,157,577	215,917,067	228,591,870	241,528,680
	<i>For the Reporting Year Ending June 30 of:</i>							
	1996	1997	1998	1999	2000	2001	2002	2003
<b>Outlays (Dollars)</b>								
68 *Annual	33,918,801	35,589,418	19,652,422	23,969,262	13,161,796	8,512,631	10,550,495	14,678,959
69 *Cumulative	112,627,575	148,216,993	167,869,415	191,838,677	205,000,473	213,513,104	224,063,599	238,742,558

\* Calculated values.

**Clean Water SRF Program Information  
for the State of Kentucky**

Federal and State Investment	For the Reporting Year Ending June 30 of:							
	1988	1989	1990	1991	1992	1993	1994	1995
<b>Investment Summary</b>								
Total Federal CWSRF Capitalization Grants (Dollars)								
70 *Annual	0	17,224,160	16,013,222	23,672,447	31,258,282	25,429,111	24,462,603	30,855,132
71 *Cumulative	0	17,224,160	33,237,382	56,909,829	88,168,111	113,597,222	138,059,825	168,914,957
Net Transfer of Federal Funds with DWSRF into/(out of) CWSRF								
72 *Annual								
73 *Cumulative								
Federal CWSRF Contributions Adjusted for Transfers								
74 *Annual	0	17,224,160	16,013,222	23,672,447	31,258,282	25,429,111	24,462,603	30,855,132
75 *Cumulative	0	17,224,160	33,237,382	56,909,829	88,168,111	113,597,222	138,059,825	168,914,957
Total State Contributions (Dollars)								
76 *Annual	0	3,444,832	3,202,644	3,559,690	6,815,000	6,251,656	4,892,520	6,171,026
77 *Cumulative	0	3,444,832	6,647,476	10,207,166	17,022,166	23,273,822	28,166,342	34,337,368
State Contributions as a % of Federal CWSRF Capitalization Grants								
78 *Annual	-	20%	20%	15%	22%	25%	20%	20%
79 *Cumulative	-	20%	20%	18%	19%	20%	20%	20%
<b>Net Transfer of Funds with DWSRF into/(out of) the CWSRF</b>								
80 *Annual Net Federal Funds Transferred								
81 Annual Net Non-Federal Funds Transferred								
82 *Annual Net Federal and Non-Federal Funds Transferred								
83 *Cumulative Net Federal and Non-Federal Funds Transferred								

\* Calculated values.

**Clean Water SRF Program Information  
for the State of Kentucky**

Federal and State Investment		For the Reporting Year Ending June 30 of:							
		1996	1997	1998	1999	2000	2001	2002	2003
Investment Summary									
Total Federal CWSRF Capitalization Grants (Dollars)									
70	*Annual	16,473,699	9,297,600	7,912,230	17,138,187	17,139,573	17,139,573	16,929,594	0
71	*Cumulative	185,388,656	194,686,256	202,598,486	219,736,673	236,876,246	254,015,819	270,945,413	270,945,413
Net Transfer of Federal Funds with DWSRF into/(out of) CWSRF									
72	*Annual		0	0	0	0	0	0	0
73	*Cumulative		0	0	0	0	0	0	0
Federal CWSRF Contributions Adjusted for Transfers									
74	*Annual	16,473,699	9,297,600	7,912,230	17,138,187	17,139,573	17,139,573	16,929,594	0
75	*Cumulative	185,388,656	194,686,256	202,598,486	219,736,673	236,876,246	254,015,819	270,945,413	270,945,413
Total State Contributions (Dollars)									
76	*Annual	3,294,740	1,859,520	1,563,867	0	3,416,292	0	12,251,106	30,534
77	*Cumulative	37,632,108	39,491,628	41,055,495	41,055,495	44,471,787	44,471,787	56,722,893	56,753,427
State Contributions as a % of Federal CWSRF Capitalization Grants									
78	*Annual	20%	20%	20%	0%	20%	0%	72%	-
79	*Cumulative	20%	20%	20%	19%	19%	18%	21%	21%
Net Transfer of Funds with DWSRF into/(out of) the CWSRF									
80	*Annual Net Federal Funds Transferred		0	0	0	0	0	0	0
81	Annual Net Non-Federal Funds Transferred		0	0	0	0	0	0	0
82	*Annual Net Federal and Non-Federal Funds Transferred		0	0	0	0	0	0	0
83	*Cumulative Net Federal and Non-Federal Funds Transferred		0	0	0	0	0	0	0

\* Calculated values.

**Clean Water SRF Program Information  
for the State of Kentucky**

Federal and State Investment	For the Reporting Year Ending June 30 of:							
	1988	1989	1990	1991	1992	1993	1994	1995
<b>State Match Contributions (Dollars)</b>								
84 Cash or Appropriations	0	0	0	0	0	0	0	0
85 GO Bonds Retired Outside the CWSRF	0	0	0	0	0	0	0	0
86 GO Bonds Retired From the CWSRF	0	0	0	0	0	0	0	0
87 Revenue Bonds	0	0	0	0	0	0	0	0
88 Pre-existing Loans	0	0	0	0	0	0	0	0
89 Other Sources	0	3,444,832	3,202,644	3,559,690	6,815,000	6,251,656	4,892,520	6,171,026
90 *Total Annual Match Contribution	0	3,444,832	3,202,644	3,559,690	6,815,000	6,251,656	4,892,520	6,171,026
91 *Cumulative Match Contribution	0	3,444,832	6,647,476	10,207,166	17,022,166	23,273,822	28,166,342	34,337,368
<b>Additional State Contributions in Excess of Match (Dollars)</b>								
92 Cash or Appropriations	0	0	0	0	0	0	0	0
93 GO Bonds Retired Outside the CWSRF	0	0	0	0	0	0	0	0
94 GO Bonds Retired From the CWSRF	0	0	0	0	0	0	0	0
95 Revenue Bonds	0	0	0	0	0	0	0	0
96 Pre-existing Loans	0	0	0	0	0	0	0	0
97 Other Sources	0	0	0	0	0	0	0	0
98 *Total Annual Additional Contribution	0	0	0	0	0	0	0	0
99 *Cumulative Additional Contribution	0	0	0	0	0	0	0	0

\* Calculated values.

**Clean Water SRF Program Information  
for the State of Kentucky**

Federal and State Investment	For the Reporting Year Ending June 30 of:							
	1996	1997	1998	1999	2000	2001	2002	2003
<b>State Match Contributions (Dollars)</b>								
84 Cash or Appropriations	0	0	0	0	0	0	0	0
85 GO Bonds Retired Outside the CWSRF	0	0	0	0	0	0	0	0
86 GO Bonds Retired From the CWSRF	0	0	0	0	0	0	0	0
87 Revenue Bonds	0	0	0	0	0	0	0	0
88 Pre-existing Loans	0	0	0	0	0	0	0	0
89 Other Sources	3,294,740	1,859,520	1,563,867	0	3,416,292	0	12,251,106	30,534
90 *Total Annual Match Contribution	3,294,740	1,859,520	1,563,867	0	3,416,292	0	12,251,106	30,534
91 *Cumulative Match Contribution	37,632,108	39,491,628	41,055,495	41,055,495	44,471,787	44,471,787	56,722,893	56,753,427
<b>Additional State Contributions in Excess of Match (Dollars)</b>								
92 Cash or Appropriations	0	0	0	0	0	0	0	0
93 GO Bonds Retired Outside the CWSRF	0	0	0	0	0	0	0	0
94 GO Bonds Retired From the CWSRF	0	0	0	0	0	0	0	0
95 Revenue Bonds	0	0	0	0	0	0	0	0
96 Pre-existing Loans	0	0	0	0	0	0	0	0
97 Other Sources	0	0	0	0	0	0	0	0
98 *Total Annual Additional Contribution	0	0	0	0	0	0	0	0
99 *Cumulative Additional Contribution	0	0	0	0	0	0	0	0

\* Calculated values.

**Clean Water SRF Program Information  
for the State of Kentucky**

CWSRF Assistance	For the Reporting Year Ending June 30 of:							
	1988	1989	1990	1991	1992	1993	1994	1995
<b>Binding Commitments</b>								
100 Annual Dollar Amount	0	6,840,293	9,009,313	34,425,711	29,095,714	26,323,778	37,388,047	53,304,505
101 *Cumulative Dollar Amount	0	6,840,293	15,849,606	50,275,317	79,371,031	105,694,809	143,082,856	196,387,361
102 Annual Number	0	3	5	13	18	10	16	32
103 *Cumulative Number	0	3	8	21	39	49	65	97
<b>Type of CWSRF Assistance Provided (Dollars)</b>								
104 Loans (includes pass-through and linked-deposit loans)	0	6,840,293	9,009,313	34,425,711	29,095,714	26,323,778	37,388,047	51,901,768
105 Refinance Short-term Debt	0	0	0	0	0	0	0	0
106 Refinance Long-term Debt	0	0	0	0	0	0	0	1,402,737
107 Guarantee/Purchase Insurance	0	0	0	0	0	0	0	0
108 Sub-state Revolving Funds	0	0	0	0	0	0	0	0
109 *Total Annual Assistance	0	6,840,293	9,009,313	34,425,711	29,095,714	26,323,778	37,388,047	53,304,505
110 *Cumulative Assistance	0	6,840,293	15,849,606	50,275,317	79,371,031	105,694,809	143,082,856	196,387,361
<b>Type of CWSRF Assistance Provided (Number of Assistance Agreements)</b>								
111 Loans (includes linked-deposit and pass-through loans)	0	3	5	13	18	10	16	32
112 Refinance Short-term Debt	0	0	0	0	0	0	0	0
113 Refinance Long-term Debt	0	0	0	0	0	0	0	0
114 Guarantee/Purchase Insurance	0	0	0	0	0	0	0	0
115 Sub-state Revolving Funds	0	0	0	0	0	0	0	0
116 *Total Annual Number of Agreements	0	3	5	13	18	10	16	32
117 *Cumulative Number of Agreements	0	3	8	21	39	49	65	97

\* Calculated values.



**Clean Water SRF Program Information  
for the State of Kentucky**

CWSRF Assistance	For the Reporting Year Ending June 30 of:							
	1996	1997	1998	1999	2000	2001	2002	2003
<b>Binding Commitments</b>								
100 Annual Dollar Amount	1,144,505	27,941,620	23,994,976	16,891,807	29,016,366	30,565,621	33,092,486	14,338,815
101 *Cumulative Dollar Amount	197,531,866	225,473,486	249,468,462	266,360,269	295,376,635	325,942,256	359,034,742	373,373,557
102 Annual Number	3	17	8	8	4	7	9	4
103 *Cumulative Number	100	117	125	133	137	144	153	157
<b>Type of CWSRF Assistance Provided (Dollars)</b>								
104 Loans (includes pass-through and linked-deposit loans)	1,144,505	27,941,620	23,994,976	16,891,807	29,016,366	30,565,621	33,092,486	14,338,815
105 Refinance Short-term Debt	0	0	0	0	0	0	0	0
106 Refinance Long-term Debt	0	0	0	0	0	0	0	0
107 Guarantee/Purchase Insurance	0	0	0	0	0	0	0	0
108 Sub-state Revolving Funds	0	0	0	0	0	0	0	0
109 *Total Annual Assistance	1,144,505	27,941,620	23,994,976	16,891,807	29,016,366	30,565,621	33,092,486	14,338,815
110 *Cumulative Assistance	197,531,866	225,473,486	249,468,462	266,360,269	295,376,635	325,942,256	359,034,742	373,373,557
<b>Type of CWSRF Assistance Provided (Number of Assistance Agreements)</b>								
111 Loans (includes linked-deposit and pass-through loans)	3	17	8	8	4	7	9	4
112 Refinance Short-term Debt	0	0	0	0	0	0	0	0
113 Refinance Long-term Debt	0	0	0	0	0	0	0	0
114 Guarantee/Purchase Insurance	0	0	0	0	0	0	0	0
115 Sub-state Revolving Funds	0	0	0	0	0	0	0	0
116 *Total Annual Number of Agreements	3	17	8	8	4	7	9	4
117 *Cumulative Number of Agreements	100	117	125	133	137	144	153	157

\* Calculated values.

**Clean Water SRF Program Information  
for the State of Kentucky**

CWSRF Assistance	For the Reporting Year Ending June 30 of:							
	1988	1989	1990	1991	1992	1993	1994	1995
<b>Assistance by Population Size (Dollars)</b>								
118 Less than 3,500	0	3,924,332	4,383,691	4,804,950	7,143,745	4,707,778	10,165,083	3,833,061
119 3,500 to 9,999	0	2,915,961	4,625,622	11,684,000	2,466,000	377,000	11,269,910	12,601,650
120 10,000 to 99,999	0	0	0	17,936,761	19,485,969	21,239,000	15,953,054	36,869,794
121 100,000 and Above	0	0	0	0	0	0	0	0
122 *Total Annual Assistance	0	6,840,293	9,009,313	34,425,711	29,095,714	26,323,778	37,388,047	53,304,505
123 *Cumulative Assistance	0	6,840,293	15,849,606	50,275,317	79,371,031	105,694,809	143,082,856	196,387,361
<b>Assistance by Population Size (Number of Assistance Agreements)</b>								
124 Less than 3,500	0	2	3	7	8	7	10	10
125 3,500 to 9,999	0	1	2	4	3	1	4	8
126 10,000 to 99,999	0	0	0	2	7	2	2	14
127 100,000 and Above	0	0	0	0	0	0	0	0
128 *Total Annual Number of Agreements	0	3	5	13	18	10	16	32
129 *Cumulative Number of Agreements	0	3	8	21	39	49	65	97
<b>Assistance to Hardship Communities (Excludes EPA's Rural Community Hardship Grants)</b>								
130 Annual Dollar Amount	0	0	0	0	0	0	0	0
131 *Cumulative Dollar Amount	0	0	0	0	0	0	0	0
132 Annual Number of Assistance Agreements	0	0	0	0	0	0	0	0
133 *Cumulative Number of Agreements	0	0	0	0	0	0	0	0
<b>Assistance to Indian Tribes</b>								
134 Annual Dollar Amount	0	0	0	0	0	0	0	0
135 Annual Number of Assistance Agreements	0	0	0	0	0	0	0	0

\* Calculated values.

**Clean Water SRF Program Information  
for the State of Kentucky**

CWSRF Assistance	For the Reporting Year Ending June 30 of:							
	1996	1997	1998	1999	2000	2001	2002	2003
<b>Assistance by Population Size (Dollars)</b>								
118 Less than 3,500	785,840	10,797,528	8,224,817	4,543,393	1,308,695	3,388,639	3,456,570	1,951,486
119 3,500 to 9,999	358,665	10,511,347	15,770,159	6,345,954	629,245	0	29,635,916	10,274,069
120 10,000 to 99,999	0	6,632,745	0	6,002,460	27,078,426	27,176,982	0	2,113,260
121 100,000 and Above	0	0	0	0	0	0	0	0
122 *Total Annual Assistance	1,144,505	27,941,620	23,994,976	16,891,807	29,016,366	30,565,621	33,092,486	14,338,815
123 *Cumulative Assistance	197,531,866	225,473,486	249,468,462	266,360,269	295,376,635	325,942,256	359,034,742	373,373,557
<b>Assistance by Population Size (Number of Assistance Agreements)</b>								
124 Less than 3,500	2	10	5	2	1	5	3	1
125 3,500 to 9,999	1	4	3	3	2	0	6	1
126 10,000 to 99,999	0	3	0	3	1	2	0	2
127 100,000 and Above	0	0	0	0	0	0	0	0
128 *Total Annual Number of Agreements	3	17	8	8	4	7	9	4
129 *Cumulative Number of Agreements	100	117	125	133	137	144	153	157
<b>Assistance to Hardship Communities (Excludes EPA's Rural Community Hardship Grants)</b>								
130 Annual Dollar Amount	1,144,505	13,595,007	17,370,675	8,459,957	188,849	8,551,006	28,133,596	13,630,755
131 *Cumulative Dollar Amount	1,144,505	14,739,512	32,110,187	40,570,144	40,758,993	49,309,999	77,443,595	91,074,350
132 Annual Number of Assistance Agreements	3	10	13	9	1	4	6	3
133 *Cumulative Number of Agreements	3	13	26	35	36	40	46	49
<b>Assistance to Indian Tribes</b>								
134 Annual Dollar Amount	0	0	0	0	0	0	0	0
135 Annual Number of Assistance Agreements	0	0	0	0	0	0	0	0

\* Calculated values.

**Clean Water SRF Program Information  
for the State of Kentucky**

CWSRF Assistance	For the Reporting Year Ending June 30 of:							
	1988	1989	1990	1991	1992	1993	1994	1995
<b>Total Wastewater Treatment, Nonpoint Source, and Estuary Assistance</b>								
136 *Annual Dollar Amount	0	6,840,293	9,009,313	34,425,711	29,095,714	26,323,778	37,388,047	53,304,505
137 *Cumulative Dollar Amount	0	6,840,293	15,849,606	50,275,317	79,371,031	105,694,809	143,082,856	196,387,361
<b>Wastewater Treatment (§212) (Dollars in each category)</b>								
138 Secondary Treatment (Category I)	0	2,751,293	3,871,313	2,665,000	6,124,714	1,302,000	17,748,047	12,290,505
139 Advanced Treatment (Category II)	0	3,832,000	3,356,000	19,902,711	3,139,000	18,511,778	7,210,000	8,006,000
140 Infiltration/Inflow (Category IIIA)	0	0	464,000	0	8,760,000	100,000	803,000	619,000
141 Sewer System Rehabilitation (Category IIIB)	0	0	0	4,218,000	1,641,000	13,000	150,000	1,915,000
142 New Collector Sewers (Category IVA)	0	0	0	7,118,000	4,138,000	563,000	4,184,000	5,767,000
143 New Interceptors (Category IVB)	0	257,000	1,318,000	522,000	5,293,000	5,834,000	6,425,000	24,707,000
144 CSO Correction (Category V)	0	0	0	0	0	0	868,000	0
145 Storm Sewers (Category VI)	0	0	0	0	0	0	0	0
146 Recycled Water Distribution (Category X)	0	0	0	0	0	0	0	0
147 *Total Annual Dollar Amount	0	6,840,293	9,009,313	34,425,711	29,095,714	26,323,778	37,388,047	53,304,505
148 *Cumulative Dollar Amount	0	6,840,293	15,849,606	50,275,317	79,371,031	105,694,809	143,082,856	196,387,361
<b>Wastewater Treatment Solutions to Nonpoint Source Problems</b>								
Amount of total wastewater treatment (§212) dollar assistance used to solve nonpoint source problems (e.g., replacing decentralized systems with centralized systems)?								
149 Annual Dollar Amount	0	0	0	0	0	0	0	0
150 *Cumulative Dollar Amount	0	0	0	0	0	0	0	0

\* Calculated values.

**Clean Water SRF Program Information  
for the State of Kentucky**

<b>CWSRF Assistance</b>	<i>For the Reporting Year Ending June 30 of:</i>							
	<b>1996</b>	<b>1997</b>	<b>1998</b>	<b>1999</b>	<b>2000</b>	<b>2001</b>	<b>2002</b>	<b>2003</b>
<b>Total Wastewater Treatment, Nonpoint Source, and Estuary Assistance</b>								
136 *Annual Dollar Amount	1,144,505	27,941,620	23,994,976	16,891,807	29,016,366	30,565,621	33,092,486	14,338,815
137 *Cumulative Dollar Amount	197,531,866	225,473,486	249,468,462	266,360,269	295,376,635	325,942,256	359,034,742	373,373,557
<b>Wastewater Treatment (§212) (Dollars in each category)</b>								
138 Secondary Treatment (Category I)	0	16,502,190	12,314,390	8,775,351	13,580,538	15,490,522	18,552,799	10,181,369
139 Advanced Treatment (Category II)	338,668	1,049,053	14,908	1,436,639	2,550,797	4,684,025	0	0
140 Infiltration/Inflow (Category IIIA)	20,000	726,000	356,596	0	0	2,897,777	1,266,219	0
141 Sewer System Rehabilitation (Category IIIB)	435,997	604,139	115,711	4,395,462	0	2,329,594	0	708,060
142 New Collector Sewers (Category IVA)	349,840	6,695,110	3,719,120	240,150	350,245	2,380,603	5,403,250	164,769
143 New Interceptors (Category IVB)	0	2,491,128	7,474,251	2,044,205	12,534,786	2,783,100	7,870,218	3,284,617
144 CSO Correction (Category V)	0	-126,000	0	0	0	0	0	0
145 Storm Sewers (Category VI)	0	0	0	0	0	0	0	0
146 Recycled Water Distribution (Category X)	0	0	0	0	0	0	0	0
147 *Total Annual Dollar Amount	1,144,505	27,941,620	23,994,976	16,891,807	29,016,366	30,565,621	33,092,486	14,338,815
148 *Cumulative Dollar Amount	197,531,866	225,473,486	249,468,462	266,360,269	295,376,635	325,942,256	359,034,742	373,373,557
<b>Wastewater Treatment Solutions to Nonpoint Source Problems</b>								
Amount of total wastewater treatment (§212) dollar assistance used to solve nonpoint source problems (e.g., replacing decentralized systems with centralized systems)?								
149 Annual Dollar Amount	0	0	0	0	0	0	0	0
150 *Cumulative Dollar Amount	0	0	0	0	0	0	0	0

\* Calculated values.

**Clean Water SRF Program Information  
for the State of Kentucky**

CWSRF Assistance	For the Reporting Year Ending June 30 of:							
	1988	1989	1990	1991	1992	1993	1994	1995
<b>Nonpoint Source (§319)</b>								
<b>(Dollars in each category)</b>								
151 Agricultural Cropland (Category VII-A)	0	0	0	0	0	0	0	0
152 Agricultural Animals (Category VII-B)	0	0	0	0	0	0	0	0
153 Silviculture (Category VII-C)	0	0	0	0	0	0	0	0
154 Urban (Category VII-D, excludes decentralized systems)	0	0	0	0	0	0	0	0
155 Ground Water - Unknown Source (Category VII-E)	0	0	0	0	0	0	0	0
156 Marinas (Category VII-F)	0	0	0	0	0	0	0	0
157 Resource Extraction (Category VII-G)	0	0	0	0	0	0	0	0
158 Brownfields (Category VII-H)	0	0	0	0	0	0	0	0
159 Storage Tanks (Category VII-I)	0	0	0	0	0	0	0	0
160 Sanitary Landfills (VII-J)	0	0	0	0	0	0	0	0
161 Hydromodification (Category VII-K)	0	0	0	0	0	0	0	0
162 Individual/Decentralized Sewage Treatment (Cat VII-L)	0	0	0	0	0	0	0	0
163 Unclassified	0	0	0	0	0	0	0	0
164 *Total Annual Dollar Amount	0	0	0	0	0	0	0	0
165 *Cumulative Dollar Amount	0	0	0	0	0	0	0	0
<b>Estuary (§320)</b>								
Direct estuary related assistance where eligibility is unique to §320, such as fish restocking								
166 Annual Dollar Amount	0	0	0	0	0	0	0	0
167 *Cumulative Dollar Amount	0	0	0	0	0	0	0	0
<b>Amount of §212 and §319 assistance that impacts estuaries</b>								
168 Annual wastewater (§212) assistance that impacts estuaries	0	0	0	0	0	0	0	0
169 Annual nonpoint source (§319) assistance that impacts estuaries	0	0	0	0	0	0	0	0
170 *Total Annual Dollar Amount	0	0	0	0	0	0	0	0
171 *Cumulative Dollar Amount	0	0	0	0	0	0	0	0

\* Calculated values.

**Clean Water SRF Program Information  
for the State of Kentucky**

CWSRF Assistance	For the Reporting Year Ending June 30 of:							
	1996	1997	1998	1999	2000	2001	2002	2003
<b>Nonpoint Source (§319)</b>								
<b>(Dollars in each category)</b>								
151 Agricultural Cropland (Category VII-A)	0	0	0	0	0	0	0	0
152 Agricultural Animals (Category VII-B)	0	0	0	0	0	0	0	0
153 Silviculture (Category VII-C)	0	0	0	0	0	0	0	0
154 Urban (Category VII-D, excludes decentralized systems)	0	0	0	0	0	0	0	0
155 Ground Water - Unknown Source (Category VII-E)	0	0	0	0	0	0	0	0
156 Marinas (Category VII-F)	0	0	0	0	0	0	0	0
157 Resource Extraction (Category VII-G)	0	0	0	0	0	0	0	0
158 Brownfields (Category VII-H)	0	0	0	0	0	0	0	0
159 Storage Tanks (Category VII-I)	0	0	0	0	0	0	0	0
160 Sanitary Landfills (VII-J)	0	0	0	0	0	0	0	0
161 Hydromodification (Category VII-K)	0	0	0	0	0	0	0	0
162 Individual/Decentralized Sewage Treatment (Cat VII-L)	0	0	0	0	0	0	0	0
163 Unclassified	0	0	0	0	0	0	0	0
164 *Total Annual Dollar Amount	0	0	0	0	0	0	0	0
165 *Cumulative Dollar Amount	0	0	0	0	0	0	0	0
<b>Estuary (§320)</b>								
Direct estuary related assistance where eligibility is unique to §320, such as fish restocking								
166 Annual Dollar Amount	0	0	0	0	0	0	0	0
167 *Cumulative Dollar Amount	0	0	0	0	0	0	0	0
<b>Amount of §212 and §319 assistance that impacts estuaries</b>								
168 Annual wastewater (§212) assistance that impacts estuaries	0	0	0	0	0	0	0	0
169 Annual nonpoint source (§319) assistance that impacts estuaries	0	0	0	0	0	0	0	0
170 *Total Annual Dollar Amount	0	0	0	0	0	0	0	0
171 *Cumulative Dollar Amount	0	0	0	0	0	0	0	0

\* Calculated values.

**Clean Water SRF Program Information  
for the State of Kentucky**

CWSRF Assistance	For the Reporting Year Ending June 30 of:							
	1988	1989	1990	1991	1992	1993	1994	1995
<b>Wastewater Treatment (§212)</b> <b>(Number in each category)**</b>								
172 Secondary Treatment (Category I)	0	1	5	4	6	3	6	11
173 Advanced Treatment (Category II)	0	2	3	7	4	6	6	7
174 Infiltration/Inflow (Category IIIA)	0	0	1	0	3	1	4	1
175 Sewer System Rehabilitation (Category IIIB)	0	0	0	4	2	1	1	6
176 New Collector Sewers (Category IVA)	0	0	0	1	5	4	6	13
177 New Interceptors (Category IVB)	0	1	1	1	9	6	8	20
178 CSO Correction (Category V)	0	0	0	0	0	0	1	0
179 Storm Sewers (Category VI)	0	0	0	0	0	0	0	0
180 Recycled Water Distribution (Category X)	0	0	0	0	0	0	0	0
181 *Total Annual Number	0	4	10	17	29	21	32	58
182 *Cumulative Number	0	4	14	31	60	81	113	171
<b>Nonpoint Source (§319)</b> <b>(Number in each category)**</b>								
183 Agricultural Cropland (Category VII-A)	0	0	0	0	0	0	0	0
184 Agricultural Animals (Category VII-B)	0	0	0	0	0	0	0	0
185 Silviculture (Category VII-C)	0	0	0	0	0	0	0	0
186 Urban (Category VII-D, excludes decentralized systems)	0	0	0	0	0	0	0	0
187 Ground Water - Unknown Source (Category VII-E)	0	0	0	0	0	0	0	0
188 Marinas (Category VII-F)	0	0	0	0	0	0	0	0
189 Resource Extraction (Category VII-G)	0	0	0	0	0	0	0	0
190 Brownfields (Category VII-H)	0	0	0	0	0	0	0	0
191 Storage Tanks (Category VII-I)	0	0	0	0	0	0	0	0
192 Sanitary Landfills (VII-J)	0	0	0	0	0	0	0	0
193 Hydromodification (Category VII-K)	0	0	0	0	0	0	0	0
194 Individual/Decentralized Sewage Treatment (Cat VII-L)	0	0	0	0	0	0	0	0
195 Unclassified	0	0	0	0	0	0	0	0
196 *Total Annual Number	0	0	0	0	0	0	0	0
197 *Cumulative Number	0	0	0	0	0	0	0	0
<b>Estuary (§320) Assistance</b>								
Direct estuary related assistance where eligibility is unique to §320, such as fish restocking								
198 Annual Number of Assistance Agreements	0	0	0	0	0	0	0	0
199 *Cumulative Number of Agreements	0	0	0	0	0	0	0	0

\* Calculated values.

\*\* Assistance Agreements may be counted in more than one category when they fund more than one category.



**Clean Water SRF Program Information  
for the State of Kentucky**

CWSRF Assistance	For the Reporting Year Ending June 30 of:							
	1996	1997	1998	1999	2000	2001	2002	2003
<b>Wastewater Treatment (§212)</b> <b>(Number in each category)**</b>								
172 Secondary Treatment (Category I)	0	12	4	6	3	4	5	1
173 Advanced Treatment (Category II)	1	2	1	3	2	2	0	0
174 Infiltration/Inflow (Category IIIA)	1	1	2	0	0	1	1	0
175 Sewer System Rehabilitation (Category IIIB)	1	5	1	2	0	1	0	1
176 New Collector Sewers (Category IVA)	2	9	3	1	1	3	4	1
177 New Interceptors (Category IVB)	0	8	6	5	3	2	7	2
178 CSO Correction (Category V)	0	1	0	0	0	0	0	0
179 Storm Sewers (Category VI)	0	0	0	0	0	0	0	0
180 Recycled Water Distribution (Category X)	0	0	0	0	0	0	0	0
181 *Total Annual Number	5	38	17	17	9	13	17	5
182 *Cumulative Number	176	214	231	248	257	270	287	292
<b>Nonpoint Source (§319)</b> <b>(Number in each category)**</b>								
183 Agricultural Cropland (Category VII-A)	0	0	0	0	0	0	0	0
184 Agricultural Animals (Category VII-B)	0	0	0	0	0	0	0	0
185 Silviculture (Category VII-C)	0	0	0	0	0	0	0	0
186 Urban (Category VII-D, excludes decentralized systems)	0	0	0	0	0	0	0	0
187 Ground Water - Unknown Source (Category VII-E)	0	0	0	0	0	0	0	0
188 Marinas (Category VII-F)	0	0	0	0	0	0	0	0
189 Resource Extraction (Category VII-G)	0	0	0	0	0	0	0	0
190 Brownfields (Category VII-H)	0	0	0	0	0	0	0	0
191 Storage Tanks (Category VII-I)	0	0	0	0	0	0	0	0
192 Sanitary Landfills (VII-J)	0	0	0	0	0	0	0	0
193 Hydromodification (Category VII-K)	0	0	0	0	0	0	0	0
194 Individual/Decentralized Sewage Treatment (Cat VII-L)	0	0	0	0	0	0	0	0
195 Unclassified	0	0	0	0	0	0	0	0
196 *Total Annual Number	0	0	0	0	0	0	0	0
197 *Cumulative Number	0	0	0	0	0	0	0	0
<b>Estuary (§320) Assistance</b>								
Direct estuary related assistance where eligibility is unique to §320, such as fish restocking								
198 Annual Number of Assistance Agreements	0	0	0	0	0	0	0	0
199 *Cumulative Number of Agreements	0	0	0	0	0	0	0	0

\* Calculated values.

\*\* Assistance Agreements may be counted in more than one category when they fund more than one category.

**Clean Water SRF Program Information  
for the State of Kentucky**

<b>CWSRF Assistance</b>	<i>For the Reporting Year Ending June 30 of:</i>							
	<b>1988</b>	<b>1989</b>	<b>1990</b>	<b>1991</b>	<b>1992</b>	<b>1993</b>	<b>1994</b>	<b>1995</b>
<b>Construction Starts (includes pass-through and linked deposit)</b>								
200 Annual Dollar Amount	0	982,797	5,874,556	14,074,505	22,154,062	50,123,979	8,767,009	32,516,827
201 *Cumulative Dollar Amount	0	982,797	6,857,353	20,931,858	43,085,920	93,209,899	101,976,908	134,493,735
202 Annual Number of Assistance Agreements	0	1	3	5	5	17	7	5
203 *Cumulative Number of Agreements	0	1	4	9	14	31	38	43
<b>Initiation of Operations (includes pass-through and linked deposit)</b>								
204 Annual Dollar Amount	0	0	0	5,325,278	3,593,432	20,612,366	38,666,910	3,456,333
205 *Cumulative Dollar Amount	0	0	0	5,325,278	8,918,710	29,531,076	68,197,986	71,654,319
206 Annual Number of Assistance Agreements	0	0	0	4	2	8	15	2
207 *Cumulative Number of Agreements	0	0	0	4	6	14	29	31

\* Calculated values.

**Clean Water SRF Program Information  
for the State of Kentucky**

<b>CWSRF Assistance</b>	<i>For the Reporting Year Ending June 30 of:</i>							
	<b>1996</b>	<b>1997</b>	<b>1998</b>	<b>1999</b>	<b>2000</b>	<b>2001</b>	<b>2002</b>	<b>2003</b>
<b>Construction Starts (includes pass-through and linked deposit)</b>								
200 Annual Dollar Amount	45,178,923	19,897,787	29,930,156	22,682,392	27,869,051	30,565,621	32,923,418	24,039,144
201 *Cumulative Dollar Amount	179,672,658	199,570,445	229,500,601	252,182,993	280,052,044	310,617,665	343,541,083	367,580,227
202 Annual Number of Assistance Agreements	17	14	12	9	5	5	7	4
203 *Cumulative Number of Agreements	60	74	86	95	100	105	112	116
<b>Initiation of Operations (includes pass-through and linked deposit)</b>								
204 Annual Dollar Amount	18,311,306	49,437,358	48,381,606	12,669,743	21,930,288	13,292,635	9,736,616	35,045,582
205 *Cumulative Dollar Amount	89,965,625	139,402,983	187,784,589	200,454,332	222,384,620	235,677,255	245,413,871	280,459,453
206 Annual Number of Assistance Agreements	5	18	16	6	7	2	6	6
207 *Cumulative Number of Agreements	36	54	70	76	83	85	91	97

\* Calculated values.

**Clean Water SRF Program Information  
for the State of Kentucky**

Fund Information	For the Reporting Year Ending June 30 of:							
	1988	1989	1990	1991	1992	1993	1994	1995
<b>Leveraged Bonds (Excludes State Match)</b>								
208 Gross Leveraged Bonds Issued - Annual	0	0	0	0	0	0	0	0
209 Net Leveraged Bonds Issued - Annual	0	0	0	0	0	0	0	0
210 Cost of Leveraged Bond Issuance - Annual	0	0	0	0	0	0	0	0
211 Leveraged Bond Principal Repaid - Annual	0	0	0	0	0	0	0	0
Debt Service Reserve for Leveraged Bonds								
212 *Annual Change	0	0	0	0	0	0	0	0
213 Balance at End of Reporting Period	0	0	0	0	0	0	0	0
214 Net Change in Gross Bonds Resulting from Refunding - Annual	0	0	0	0	0	0	0	0
215 CWSRF Funds Used for Refunding (Excludes Bonds) - Annual	0	0	0	0	0	0	0	0
216 *Gross Leveraged Bonds Issued - Cumulative	0	0	0	0	0	0	0	0
217 *Net Leveraged Bonds Issued - Cumulative	0	0	0	0	0	0	0	0
218 *Cost of Leveraged Bond Issuance - Cumulative	0	0	0	0	0	0	0	0
219 *Leveraged Bond Principal Repaid - Cumulative	0	0	0	0	0	0	0	0
220 *Net Change in Gross Bonds Resulting from Refunding - Cumulative	0	0	0	0	0	0	0	0
221 *CWSRF Funds Used for Refunding (Excludes Bonds) - Cumulative	0	0	0	0	0	0	0	0
222 *Leveraged Bonds Outstanding - Balance at End of Reporting Period	0	0	0	0	0	0	0	0
<b>State Match Bonds to be Repaid by CWSRF</b>								
223 *Match Bonds Issued - Annual	0	0	0	0	0	0	0	0
224 Match Bond Principal Repaid - Annual	0	0	0	0	0	0	0	0
225 *Match Bonds Issued - Cumulative	0	0	0	0	0	0	0	0
226 *Match Bond Principal Repaid - Cumulative	0	0	0	0	0	0	0	0
227 *Match Bonds Outstanding - Balance at End of Reporting Period	0	0	0	0	0	0	0	0
<b>Interest Paid on Leveraged and Match Bonds</b>								
228 Interest Paid from Capitalized Interest Account and Other CWSRF Funds- Annual	0	0	0	0	0	0	0	0
229 Interest Paid from Capitalized Interest Account - Annual	0	0	0	0	0	0	0	0
Interest Paid from CWSRF Funds, Excluding Capitalized Interest Account Funds								
230 *Annual	0	0	0	0	0	0	0	0
231 *Cumulative	0	0	0	0	0	0	0	0

\*Calculated Values

**Clean Water SRF Program Information  
for the State of Kentucky**

Fund Information	For the Reporting Year Ending June 30 of:							
	1996	1997	1998	1999	2000	2001	2002	2003
<b>Leveraged Bonds (Excludes State Match)</b>								
208 Gross Leveraged Bonds Issued - Annual	0	0	0	0	0	0	0	0
209 Net Leveraged Bonds Issued - Annual	0	0	0	0	0	0	0	0
210 Cost of Leveraged Bond Issuance - Annual	0	0	0	0	0	0	0	0
211 Leveraged Bond Principal Repaid - Annual	0	0	0	0	0	0	0	0
Debt Service Reserve for Leveraged Bonds								
212 *Annual Change	0	0	0	0	0	0	0	0
213 Balance at End of Reporting Period	0	0	0	0	0	0	0	0
214 Net Change in Gross Bonds Resulting from Refunding - Annual	0	0	0	0	0	0	0	0
215 CWSRF Funds Used for Refunding (Excludes Bonds) - Annual	0	0	0	0	0	0	0	0
216 *Gross Leveraged Bonds Issued - Cumulative	0	0	0	0	0	0	0	0
217 *Net Leveraged Bonds Issued - Cumulative	0	0	0	0	0	0	0	0
218 *Cost of Leveraged Bond Issuance - Cumulative	0	0	0	0	0	0	0	0
219 *Leveraged Bond Principal Repaid - Cumulative	0	0	0	0	0	0	0	0
220 *Net Change in Gross Bonds Resulting from Refunding - Cumulative	0	0	0	0	0	0	0	0
221 *CWSRF Funds Used for Refunding (Excludes Bonds) - Cumulative	0	0	0	0	0	0	0	0
222 *Leveraged Bonds Outstanding - Balance at End of Reporting Period	0	0	0	0	0	0	0	0
<b>State Match Bonds to be Repaid by CWSRF</b>								
223 *Match Bonds Issued - Annual	0	0	0	0	0	0	0	0
224 Match Bond Principal Repaid - Annual	0	0	0	0	0	0	0	0
225 *Match Bonds Issued - Cumulative	0	0	0	0	0	0	0	0
226 *Match Bond Principal Repaid - Cumulative	0	0	0	0	0	0	0	0
227 *Match Bonds Outstanding - Balance at End of Reporting Period	0	0	0	0	0	0	0	0
<b>Interest Paid on Leveraged and Match Bonds</b>								
228 Interest Paid from Capitalized Interest Account and Other CWSRF Funds- Annual	0	0	0	0	0	0	0	0
229 Interest Paid from Capitalized Interest Account - Annual	0	0	0	0	0	0	0	0
Interest Paid from CWSRF Funds, Excluding Capitalized Interest Account Funds								
230 *Annual	0	0	0	0	0	0	0	0
231 *Cumulative	0	0	0	0	0	0	0	0

\*Calculated Values

**Clean Water SRF Program Information  
for the State of Kentucky**

Fund Information	For the Reporting Year Ending June 30 of:							
	1988	1989	1990	1991	1992	1993	1994	1995
<b>CWSRF Project Assistance Disbursed</b>								
232 Annual	0	0	3,262,400	2,014,100	14,093,039	27,380,390	19,458,945	19,295,197
233 *Cumulative	0	0	3,262,400	5,276,500	19,369,539	46,749,929	66,208,874	85,504,071
<b>CWSRF Loan Repayments</b>								
234 Principal Repayments - Annual	0	0	0	0	121,574	626,313	1,577,925	2,798,074
235 Interest Payments - Annual	0	0	32,917	112,614	423,184	1,097,664	1,870,475	1,992,810
236 *Principal and Interest - Annual	0	0	32,917	112,614	544,758	1,723,977	3,448,400	4,790,884
237 *Principal Repayments - Cumulative	0	0	0	0	121,574	747,887	2,325,812	5,123,886
238 *Interest Payments - Cumulative	0	0	32,917	145,531	568,715	1,666,379	3,536,854	5,529,664
239 *Principal and Interest - Cumulative	0	0	32,917	145,531	690,289	2,414,266	5,862,666	10,653,550
240 Weighted Average Interest Rate on Loans	0.0%	2.0%	3.0%	2.5%	2.6%	1.8%	1.4%	2.2%
<b>Interest Earnings on Investments</b>								
241 Annual	0	0	338,236	496,098	401,708	233,945	350,502	581,455
242 *Cumulative	0	0	338,236	834,334	1,236,042	1,469,987	1,820,489	2,401,944
<b>CWSRF Administrative Expenses Paid from the CWSRF</b>								
243 Annual	0	0	203,600	357,900	584,961	467,610	609,055	406,794
244 *Cumulative	0	0	203,600	561,500	1,146,461	1,614,071	2,223,126	2,629,920
<b>Expenses as a % of Capitalization Grants</b>								
245 *Annual	-	0.0%	1.3%	1.5%	1.9%	1.8%	2.5%	1.3%
246 *Cumulative	-	0.0%	0.6%	1.0%	1.3%	1.4%	1.6%	1.6%
<b>CWSRF Administrative Expenses Paid Outside the CWSRF</b>								
247 Annual	0	0	0	0	0	0	0	0
248 *Cumulative	0	0	0	0	0	0	0	0

\* Calculated values.

**Clean Water SRF Program Information  
for the State of Kentucky**

Fund Information	For the Reporting Year Ending June 30 of:							
	1996	1997	1998	1999	2000	2001	2002	2003
<b>CWSRF Project Assistance Disbursed</b>								
232 Annual	40,562,602	41,445,103	22,138,104	27,583,266	15,399,271	28,896,084	11,678,868	30,222,166
233 *Cumulative	126,066,673	167,511,776	189,649,880	217,233,146	232,632,417	261,528,501	273,207,369	303,429,535
<b>CWSRF Loan Repayments</b>								
234 Principal Repayments - Annual	3,451,721	4,579,518	6,459,290	8,137,715	8,849,667	9,932,271	12,183,858	11,963,481
235 Interest Payments - Annual	2,508,678	3,135,868	4,039,983	4,468,256	4,891,993	5,252,612	5,709,896	4,951,322
236 *Principal and Interest - Annual	5,960,399	7,715,386	10,499,273	12,605,971	13,741,660	15,184,883	17,893,754	16,914,803
237 *Principal Repayments - Cumulative	8,575,607	13,155,125	19,614,415	27,752,130	36,601,797	46,534,068	58,717,926	70,681,407
238 *Interest Payments - Cumulative	8,038,342	11,174,210	15,214,193	19,682,449	24,574,442	29,827,054	35,536,950	40,488,272
239 *Principal and Interest - Cumulative	16,613,949	24,329,335	34,828,608	47,434,579	61,176,239	76,361,122	94,254,876	111,169,679
240 Weighted Average Interest Rate on Loans	1.6%	2.5%	2.3%	2.6%	2.6%	2.1%	1.1%	1.1%
<b>Interest Earnings on Investments</b>								
241 Annual	737,032	1,000,083	1,881,174	2,018,988	3,084,327	4,119,979	3,031,479	2,940,358
242 *Cumulative	3,138,976	4,139,059	6,020,233	8,039,221	11,123,548	15,243,527	18,275,006	21,215,364
<b>CWSRF Administrative Expenses Paid from the CWSRF</b>								
243 Annual	803,835	999,501	1,113,250	984,127	1,122,077	1,106,041	1,534,892	183,158
244 *Cumulative	3,433,755	4,433,256	5,546,506	6,530,633	7,652,710	8,758,751	10,293,643	10,476,801
<b>Expenses as a % of Capitalization Grants</b>								
245 *Annual	4.9%	10.8%	14.1%	5.7%	6.5%	6.5%	9.1%	-
246 *Cumulative	1.9%	2.3%	2.7%	3.0%	3.2%	3.4%	3.8%	3.9%
<b>CWSRF Administrative Expenses Paid Outside the CWSRF</b>								
247 Annual	0	0	0	0	0	15,162	0	0
248 *Cumulative	0	0	0	0	0	15,162	15,162	15,162

\* Calculated values.

**Clean Water SRF Program Information  
for the State of Kentucky**

Other Clean Water Programs (Separate from CWSRF)	For the Reporting Year Ending June 30 of:							
	1996	1997	1998	1999	2000	2001	2002	2003
<b>EPA's Rural Community Hardship Grants Program</b>								
249 EPA's Grants to State		0	0	1,364,400	68,220	0	0	0
250 State Match Amount		0	0	0	24,561	0	0	0
251 *Cumulative Total		0	0	1,364,400	1,457,181	1,457,181	1,457,181	1,457,181
252 Technical Assistance from Hardship Funds - Annual Dollars		0	0	0	0	0	0	0
253 Project Assistance from Hardship Funds - Annual Dollars		0	0	0	515,980	0	229,160	0
254 *Total Annual EPA Rural Hardship Assistance		0	0	0	515,980	0	229,160	0
255 *Cumulative EPA Rural Hardship Assistance		0	0	0	515,980	515,980	745,140	745,140
256 Number of Projects Receiving Hardship Assistance - Annual		0	0	0	2	0	1	0
257 *Cumulative		0	0	0	2	2	3	3
Projects Receiving Hardship Assistance in Combination with CWSRF Loan Assistance								
258 Number of Projects Receiving Hardship Assistance and CWSRF Loans - Annual		0	0	0	2	0	0	0
259 *Cumulative		0	0	0	2	2	2	2
260 Hardship Portion of Combined Assistance - Annual Dollars		0	0	0	515,980	0	0	0
261 *Cumulative		0	0	0	515,980	515,980	515,980	515,980
262 CWSRF Loan Portion of Combined Assistance - Annual Dollars		0	0	0	1,601,183	0	0	0
263 *Cumulative		0	0	0	1,601,183	1,601,183	1,601,183	1,601,183
264 *Total Annual Combined Assistance		0	0	0	2,117,163	0	0	0
265 *Cumulative Combined Assistance		0	0	0	2,117,163	2,117,163	2,117,163	2,117,163

\* Calculated values.



**Clean Water SRF Program Information  
for the State of Kentucky**

Other Clean Water Programs (Separate from CWSRF)	For the Reporting Year Ending June 30 of:							
	1996	1997	1998	1999	2000	2001	2002	2003
State Funded Clean Water Loan Programs (Separate from CWSRF)								
266 Cumulative Dollar Amount from 7/1/87 to 6/30/98			93,000,000					
267 Annual Dollar Amount				1,000,000	0	0	0	0
268 *Cumulative Dollar Amount			93,000,000	94,000,000	94,000,000	94,000,000	94,000,000	94,000,000
269 Cumulative Number of Loans from 7/1/87 to 6/30/98			92					
270 Annual Number of Loans				1	0	0	0	0
271 *Cumulative Number of Loans			92	93	93	93	93	93
State Funded Clean Water Grant Programs (Separate from CWSRF)								
272 Cumulative Dollar Amount from 7/1/87 to 6/30/98			10,000,000					
273 Annual Dollar Amount				0	0	0	0	0
274 *Cumulative Dollar Amount			10,000,000	10,000,000	10,000,000	10,000,000	10,000,000	10,000,000
275 Cumulative Number of Grants from 7/1/87 to 6/30/98			7					
276 Annual Number of Grants				0	0	0	0	0
277 *Cumulative Number of Grants			7	7	7	7	7	7
Total State Funded Clean Water Programs (Separate from CWSRF)								
278 *Dollar Amount of Loans and Grants - Annual				1,000,000	0	0	0	0
279 *Dollar Amount of Loans and Grants - Cumulative			103,000,000	104,000,000	104,000,000	104,000,000	104,000,000	104,000,000
280 *Number of Loans and Grants - Annual				1	0	0	0	0
281 *Number of Loans and Grants - Cumulative			99	100	100	100	100	100

\* Calculated values.

**Clean Water SRF Program Information  
for the State of Kentucky**

Fund Analysis	For the Reporting Year Ending June 30 of:							
	1988	1989	1990	1991	1992	1993	1994	1995
<b>CWSRF Funds Available for Projects</b>								
282 *Annual (New Funds)	0	19,980,026	18,946,490	26,893,951	37,769,417	32,621,525	32,175,521	41,164,292
283 *Cumulative	0	19,980,026	38,926,516	65,820,467	103,589,884	136,211,408	168,386,929	209,551,221
<b>CWSRF Assistance as a % of Funds Available</b>								
284 *Annual	-	34%	48%	128%	77%	81%	116%	129%
285 *Cumulative	-	34%	41%	76%	77%	78%	85%	94%
<b>Outlays as a % of Capitalization Grants</b>								
286 *Annual	-	0%	18%	9%	41%	109%	68%	54%
287 *Cumulative	-	0%	9%	9%	20%	40%	45%	47%
<b>Disbursements as a % of Funds Available</b>								
288 *Annual	-	0%	17%	7%	37%	84%	60%	47%
289 *Cumulative	-	0%	8%	8%	19%	34%	39%	41%
<b>Construction Starts as a % of Funds Available</b>								
290 *Annual	-	5%	31%	52%	59%	154%	27%	79%
291 *Cumulative	-	5%	18%	32%	42%	68%	61%	64%
<b>Initiation of Operations as a % of Funds Available</b>								
292 *Annual	-	0%	0%	20%	10%	63%	120%	8%
293 *Cumulative	-	0%	0%	8%	9%	22%	41%	34%
<b>Loan Principal Repayments as a % of Funds Available</b>								
294 *Annual	-	0%	0%	0%	0%	2%	5%	7%
295 *Cumulative	-	0%	0%	0%	0%	1%	1%	2%

\* Calculated values.

**Clean Water SRF Program Information  
for the State of Kentucky**

Fund Analysis	For the Reporting Year Ending June 30 of:							
	1996	1997	1998	1999	2000	2001	2002	2003
<b>CWSRF Funds Available for Projects</b>								
282 *Annual (New Funds)	25,806,922	19,500,685	21,540,055	31,077,619	36,696,269	35,758,852	49,428,749	19,885,695
283 *Cumulative	235,358,143	254,858,828	276,398,883	307,476,501	344,172,770	379,931,622	429,360,371	449,246,066
<b>CWSRF Assistance as a % of Funds Available</b>								
284 *Annual	4%	143%	111%	54%	79%	85%	67%	72%
285 *Cumulative	84%	88%	90%	87%	86%	86%	84%	83%
<b>Outlays as a % of Capitalization Grants</b>								
286 *Annual	206%	383%	248%	140%	77%	50%	62%	-
287 *Cumulative	61%	76%	83%	87%	87%	84%	83%	88%
<b>Disbursements as a % of Funds Available</b>								
288 *Annual	157%	213%	103%	89%	42%	81%	24%	152%
289 *Cumulative	54%	66%	69%	71%	68%	69%	64%	68%
<b>Construction Starts as a % of Funds Available</b>								
290 *Annual	175%	102%	139%	73%	76%	85%	67%	121%
291 *Cumulative	76%	78%	83%	82%	81%	82%	80%	82%
<b>Initiation of Operations as a % of Funds Available</b>								
292 *Annual	71%	254%	225%	41%	60%	37%	20%	176%
293 *Cumulative	38%	55%	68%	65%	65%	62%	57%	62%
<b>Loan Principal Repayments as a % of Funds Available</b>								
294 *Annual	13%	23%	30%	26%	24%	28%	25%	60%
295 *Cumulative	4%	5%	7%	9%	11%	12%	14%	16%

\* Calculated values.

**Clean Water SRF Program Information  
for the State of Kentucky**

Fund Analysis	For the Reporting Year Ending June 30 of:							
	1988	1989	1990	1991	1992	1993	1994	1995
<b>Disbursements as a % of CWSRF Assistance</b>								
296 *Annual	-	0%	36%	6%	48%	104%	52%	36%
297 *Cumulative	-	0%	21%	10%	24%	44%	46%	44%
<b>Construction Starts as a % of CWSRF Assistance</b>								
298 *Annual	-	14%	65%	41%	76%	190%	23%	61%
299 *Cumulative	-	14%	43%	42%	54%	88%	71%	68%
<b>Initiation of Operations as a % of CWSRF Assistance</b>								
300 *Annual	-	0%	0%	15%	12%	78%	103%	6%
301 *Cumulative	-	0%	0%	11%	11%	28%	48%	36%
<b>Loan Principal Repayments as a % of CWSRF Assistance</b>								
302 *Annual	-	0%	0%	0%	0%	2%	4%	5%
303 *Cumulative	-	0%	0%	0%	0%	1%	2%	3%
<b>Initiation of Operations as a % of Construction Starts</b>								
304 *Annual	-	0%	0%	38%	16%	41%	441%	11%
305 *Cumulative	-	0%	0%	25%	21%	32%	67%	53%

\* Calculated values.

**Clean Water SRF Program Information  
for the State of Kentucky**

Fund Analysis	For the Reporting Year Ending June 30 of:							
	1996	1997	1998	1999	2000	2001	2002	2003
<b>Disbursements as a % of CWSRF Assistance</b>								
296 *Annual	3544%	148%	92%	163%	53%	95%	35%	211%
297 *Cumulative	64%	74%	76%	82%	79%	80%	76%	81%
<b>Construction Starts as a % of CWSRF Assistance</b>								
298 *Annual	3947%	71%	125%	134%	96%	100%	99%	168%
299 *Cumulative	91%	89%	92%	95%	95%	95%	96%	98%
<b>Initiation of Operations as a % of CWSRF Assistance</b>								
300 *Annual	1600%	177%	202%	75%	76%	43%	29%	244%
301 *Cumulative	46%	62%	75%	75%	75%	72%	68%	75%
<b>Loan Principal Repayments as a % of CWSRF Assistance</b>								
302 *Annual	302%	16%	27%	48%	30%	32%	37%	83%
303 *Cumulative	4%	6%	8%	10%	12%	14%	16%	19%
<b>Initiation of Operations as a % of Construction Starts</b>								
304 *Annual	41%	248%	162%	56%	79%	43%	30%	146%
305 *Cumulative	50%	70%	82%	79%	79%	76%	71%	76%

\* Calculated values.

**Clean Water SRF Program Information  
for the State of Kentucky**

Fund Analysis	For the Reporting Year Ending June 30 of:							
	1988	1989	1990	1991	1992	1993	1994	1995
<b>Financial Indicators</b>								
<b>Federal Return on Investment</b>								
306 *Annual	-	-	115%	99%	110%	98%	116%	116%
307 *Cumulative	-	-	115%	109%	110%	103%	107%	109%
<b>Executed Loans as a % of Funds Available</b>								
308 *Annual	-	34%	48%	128%	77%	81%	116%	129%
309 *Cumulative	-	34%	41%	76%	77%	78%	85%	94%
<b>Disbursements as a % of Executed Loans</b>								
310 *Annual	-	0%	36%	6%	48%	104%	52%	36%
311 *Cumulative	-	0%	21%	10%	24%	44%	46%	44%
<b>Additional Loans Made Due to Leveraging</b>								
312 *Annual	-	-	-	-	-	-	-	-
313 *Cumulative	-	-	-	-	-	-	-	-
314 *Cumulative Additional Loans as a % of Contributed Capital	-	-	-	-	-	-	-	-
<b>Sustainability (Retained Earnings)</b>								
315 *Annual	0	0	371,153	608,712	824,892	1,331,609	2,220,977	2,574,265
316 *Cumulative	0	0	371,153	979,865	1,804,757	3,136,366	5,357,343	7,931,608
317 *Cumulative Retained Earnings as a % of Contributed Capital	-	0.0%	0.9%	1.5%	1.7%	2.3%	3.2%	3.9%

\* Calculated values.

**Clean Water SRF Program Information  
for the State of Kentucky**

Fund Analysis	For the Reporting Year Ending June 30 of:							
	1996	1997	1998	1999	2000	2001	2002	2003
<b>Financial Indicators</b>								
<b>Federal Return on Investment</b>								
306 *Annual	120%	116%	113%	115%	117%	339%	111%	206%
307 *Cumulative	112%	113%	113%	113%	113%	122%	122%	127%
<b>Executed Loans as a % of Funds Available</b>								
308 *Annual	4%	143%	111%	54%	79%	85%	67%	72%
309 *Cumulative	84%	88%	90%	87%	86%	86%	84%	83%
<b>Disbursements as a % of Executed Loans</b>								
310 *Annual	3544%	148%	92%	163%	53%	95%	35%	211%
311 *Cumulative	64%	74%	76%	82%	79%	80%	76%	81%
<b>Additional Loans Made Due to Leveraging</b>								
312 *Annual	-	-	-	-	-	-	-	-
313 *Cumulative	-	-	-	-	-	-	-	-
314 *Cumulative Additional Loans as a % of Contributed Capital	-	-	-	-	-	-	-	-
<b>Sustainability (Retained Earnings)</b>								
315 *Annual	3,245,710	4,135,951	5,921,157	6,487,244	7,976,320	9,372,591	8,741,375	7,891,680
316 *Cumulative	11,177,318	15,313,269	21,234,426	27,721,670	35,697,990	45,070,581	53,811,956	61,703,636
317 *Cumulative Retained Earnings as a % of Contributed Capital	5.0%	6.5%	8.7%	10.6%	12.7%	15.1%	16.4%	18.8%

\* Calculated values.